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Attorney's Office No: 0994.00133

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent No: 6,989,158 **B1**

**Certificate**

Issued: 01/24/2006

MAR 14 2006

Name of Patentee: Kattesh V. Katti, et al.

**of Correction**

Title of Invention: GOLD-CONTAINING CHEMOTHERAPEUTIC AGENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction  
Branch of the Patent Issue Division

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
FOR APPLICANT'S MISTAKE (37 C.F.R. § 1.323)**

1. Attached, in duplicate, is PTO/SB/44 (also Form PTO-1050), with at least one copy being suitable for printing.

**NOTE:** Form PTO-1050 (or PTO/SB/44), using the column and line number in the printed patent, should be used exclusively regardless of the length of complexity of the subject matter. M.P.E.P. § 1485, 7th ed.

**NOTE:** The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. M.P.E.P. § 1485, 7th ed.

2. The exact page and line number of where the errors are shown correctly in the application file are:

**NOTE:** This information should be identified in this request, however, on Form PTO-1050, only the column and line number in the printed patent should be used. M.P.E.P. § 1485, 7th ed.

a) On page 1, column 1, at or about line 15, please insert the Grant Information as shown on the Certificate of Correction (Form PTO-1050).

3. A check in the amount of \$100 is enclosed for the requisite fee under 37 C.F.R. § 1.20(a).

03/13/2006 DEMANDU1 00000079 6905158

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100.00 03

MAR 16 2006

4. Please send the Certificate to:

Name: Kenneth I. Kohn

Address: Kohn & Associates, PLLC, 30500 Northwestern Hwy., Suite 410,  
Farmington Hills, Michigan 48334

(complete, if applicable)

\_\_\_\_\_  
Signature(s) of patentee(s)

\_\_\_\_\_  
or

The Curators of the University  
of Missouri

(type or print name of assignee)



\_\_\_\_\_  
Signature of assignee or person  
authorized to sign on behalf of assignee

Assignment recorded on

June 20, 2002

Kenneth I. Kohn

(name of authorized person signing)

Reel 013112

Frame 0309

Attorney

\_\_\_\_\_  
Title of authorized person signing

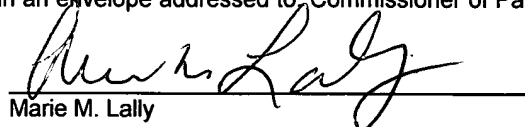
Recordal of assignment attached

*NOTE: "A certificate of correction, under 35 U.S.C. 254, may be used at the request of the patentee or [the patentee's] assignee." 37 C.F.R. § 1.322(a). The certificate of correction can be signed by the attorney of record who acts on behalf of the inventor(s) or assignee(s)*

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

Date of Deposit: \_\_\_\_ - \_\_\_\_ - \_\_\_\_

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as 1<sup>st</sup> Class Mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313.

  
\_\_\_\_\_  
Marie M. Lally

MAR 21 2003

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**PATENT NO: 6,989,158 *Bi*

DATED: 01/24/2006

INVENTOR(S): Kattesh V. Katti, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**Page 1**Column 1,

Line 15, insert:

--This invention was made with government support under Grant No. DE-FG02-89ER60875 awarded by the Department of Energy. The government has certain rights in the invention.--

MAILING ADDRESS OF SENDER:

PATENT NO. 6,989,158

Kohn & Associates, PLLC  
30500 Northwestern Hwy., Suite 410  
Farmington Hills, Michigan 48334

No. of additional copies \_\_\_\_\_

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**MAR 16 2006**



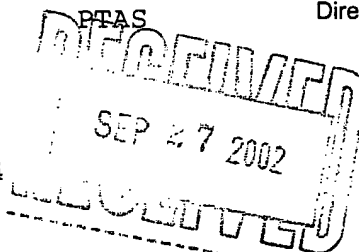
UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



SEPTEMBER 23, 2002

KOHN & ASSOCIATES  
KENNETH I. KOHN  
30500 NORTHWESTERN HWY.  
STE. 410  
FARMINGTON HILLS, MI 48334

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)



\*102168033A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY. SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/20/2002

REEL/FRAME: 013112/0309  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KATTI, KATTESH V.

DOC DATE: 01/04/2002

ASSIGNOR:

VOLKERT, WYNN A.

DOC DATE: 01/10/2002

ASSIGNOR:

HOFFMAN, TIMOTHY J.

DOC DATE: 01/11/2002

ASSIGNEE:

CURATORS OF THE UNIVERSITY OF  
MISSOURI, THE  
615 LOCUST STREET BUILDING, 3RD  
FLOOR  
COLUMBIA, MISSOURI 65211

SERIAL NUMBER: 10019192  
PATENT NUMBER:

FILING DATE: 06/20/2002  
ISSUE DATE:

013112/0309 PAGE 2

SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



07-25-2002



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Pocket No.: 10/019192

COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

ONLY Rec'd PCT/PTO 20 JUN 2002

Tab settings → → →

To the Honorable Commissioner

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kattesh V. Katti  
Wynn A. Volkert  
Timothy J. Hoffman

670-82

2. Name and address of receiving party(ies):

Name: The Curators of the University of Missouri

Internal Address: \_\_\_\_\_

Street Address: 615 Locust Street Building

3rd Floor

City: Columbia State: MO ZIP: 65211

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: 01/04/02, 01/10/02, 01/11/02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

10/019,192

Priority Date

June 23, 1999

06/25/2002 HKAYPAGH 00000078 10019192

03 FC:581

40.00

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kenneth I. Kohn

Internal Address: KOHN & ASSOCIATES

Street Address: 30500 Northwestern Highway

Suite 410

City: Farmington Hills State: MI ZIP: 48334

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed. Any excess or insufficiency should be credited or debited to deposit account.  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail.  
Patents, Washington

☐ Authorized to be charged to deposit account

D.O. 26231, ON

8. Deposit account number: (Date of Deposit)

11-1449

(Attach duplicate copy of this page if paying by deposit account)  
Signature Angel Webb

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Amy E. Rinaldo

Name of Person Signing

Signature [Signature]

06/13/02

Date

Total number of pages including cover sheet, attachments, and document:

3

**ASSIGNMENT**

For the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, we, Kattesh V. Katti, Wynn A. Volkert and Timothy J. Hoffman of Columbia, MO, hereby assign, sell and set over to The Curators of the University of Missouri, organized and existing under the laws of the State of Missouri and having a place of business in Columbia, Missouri, hereinafter referred to as ASSIGNEE, its successors, assigns or other legal representatives, the entire right, title and interest, domestic and foreign, in and to the inventions and discoveries in

**GOLD-CONTAINING CHEMOTHERAPEUTIC AGENTS**

set forth in the application for United States Letters Patent, Serial No. 10/019,192, filed December 21, 2001, including the right of said ASSIGNEE, its successors, assigns or other legal representatives to make applications and to receive Letters Patent for said inventions and discoveries in any and all foreign countries in its or their own name or names, or in our names, at its or their election, and we hereby assign, sell and set over to said ASSIGNEE, its successors, assigns or other legal representatives, all rights of priority in and to said inventions and discoveries in all countries.

And we hereby agree for ourselves, our heirs, successors, assigns or other legal representatives to execute all papers, including applications for Letters Patent of any and all kinds and in any and all countries and to perform any and all acts which said ASSIGNEE, its successors, assigns or other legal representatives may deem necessary to secure thereto the rights herein assigned, sold and set over.

And we hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

IN WITNESS WHEREOF, we hereunto set our hand and seal.

Margie Floyd  
Witness

Columbia, MO  
Witness Address

Margie Floyd  
Witness

Columbia, MO  
Witness Address

Margie Floyd  
Witness

Columbia, MO  
Witness Address

Kattesh V. Katti  
Kattesh V. Katti

01/09/02  
Date

Wynne A. Volkert  
Volkert, Wynn A.

01/10/02  
Date

Timothy J. Hoffman  
Timothy J. Hoffman

01/11/02  
Date